

MATH5003P

"Introduction

to

differential

=

$C^0$

$C^1$

$\vdots$

$C^\infty$

= smooth

(main focus

in this course)

manifold"

→  
main object in this course

- smooth: free to take derivatives.
- manifold vs. manifold with boundary  
manifold with corner  
stratification  
orbifold, polyfold ...  
varieties ...

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Course time: Monday 7:30 pm - 9:00 pm (no break)

Tuesday 2:00 pm - 3:30 pm (no break)

ADD 1 hr?

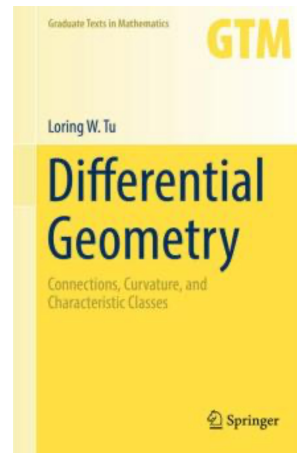
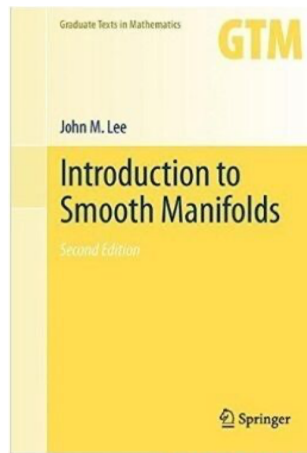
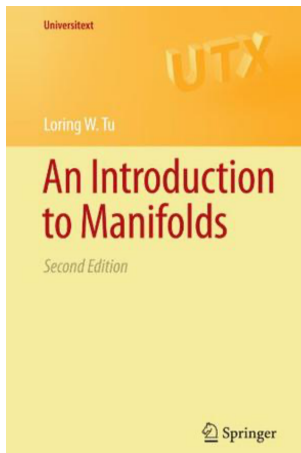
Location: 5教 102室

Lecturing: 中文授课 + slides in English

Homework:  $\approx$  Every 1.5 weeks ( $\approx$  10 problems each time)

Score: 30% HW + 30% Midterm + 40% Final  
(HARD)

# Reference



- In 2023, Prof. Zuoqin Wang's (王作勤) course:

<http://staff.ustc.edu.cn/~wangzuoq/Courses/23F-Manifolds/index.html>

- Remark:

Do NOT copy slides <sup>will be shared</sup> by hand in class!  
↓  
too slow

Topics covered in this course (ideally) :

	概念 concepts	计算 computations	理论 theories
EASY	<ul style="list-style-type: none"><li>- manifold and submfd</li><li>- structure results of mfd</li></ul>	differential forms	de Rham cohomology theory
MIDDLE	<ul style="list-style-type: none"><li>- relations between mfd's; vector bundles (fiber)</li></ul>	integration and global results	Hodge theory (on harmonic forms)
HARD	<ul style="list-style-type: none"><li>- dynamics: Lie derivatives connection</li></ul>	constructing examples	characteristic class theory

# Extension

	概念 concepts	计算 computations	理论 theories	
EASY	- manifold and submfld - structure results of mfd	differential forms	de Rham cohomology theory	→ algebraic geometry
MIDDLE	- relations between mfd's; vector bundles (fiber)	integration and global results	Hodge theory (on harmonic forms)	→ PDE & complex geometry
HARD	- dynamics: Lie derivatives connection	constructing examples	characteristic class theory	→ algebraic topology

{ memorize                      practice                      applications }

⇒ differential manifold is the foundation of many further developments in math.

- ↑
- platform
  - tools
  - Theorems

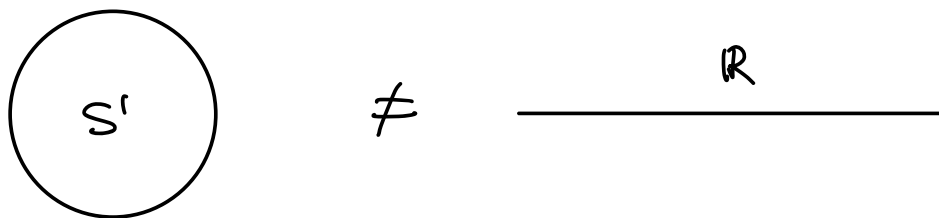
## Style of lecturing

Undergraduate: concrete examples  $\longrightarrow$  abstract definition

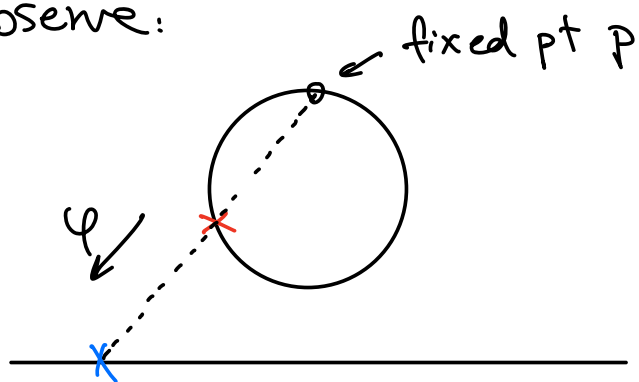
✓ Graduate : abstract definition  $\longrightarrow$  concrete examples

↖ We will take this style.

Example (Undergraduate approach)

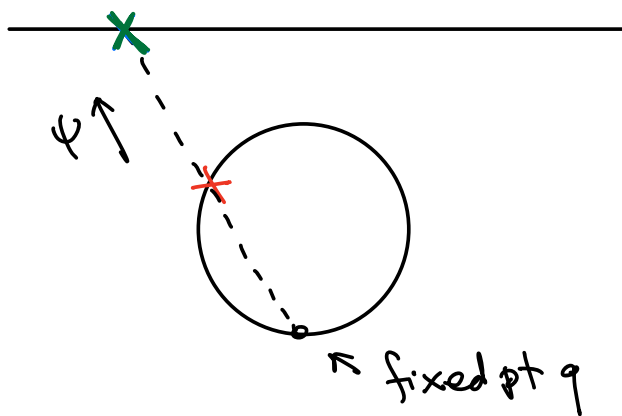


Observe:



$$S^1 \setminus \{p\} \xrightarrow[\sim]{\varphi} \mathbb{R}$$

$\Rightarrow$  every point  $x (\neq p)$  has an open neighborhood  $(= S^1 \setminus \{p\})$  that looks like an open subset of  $\mathbb{R}$ .

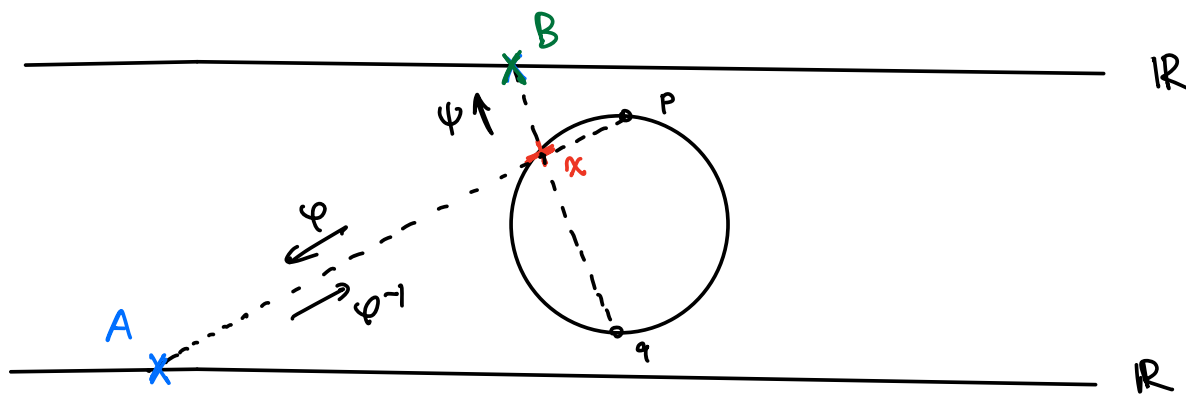


$$S^1 \setminus \{q\} \xrightarrow[\sim]{\psi} \mathbb{R}$$

$\Rightarrow$  every point  $x (\neq q)$  has an open neighborhood ( $= S^1 \setminus \{q\}$ ) that looks like an open subset of  $\mathbb{R}$ .

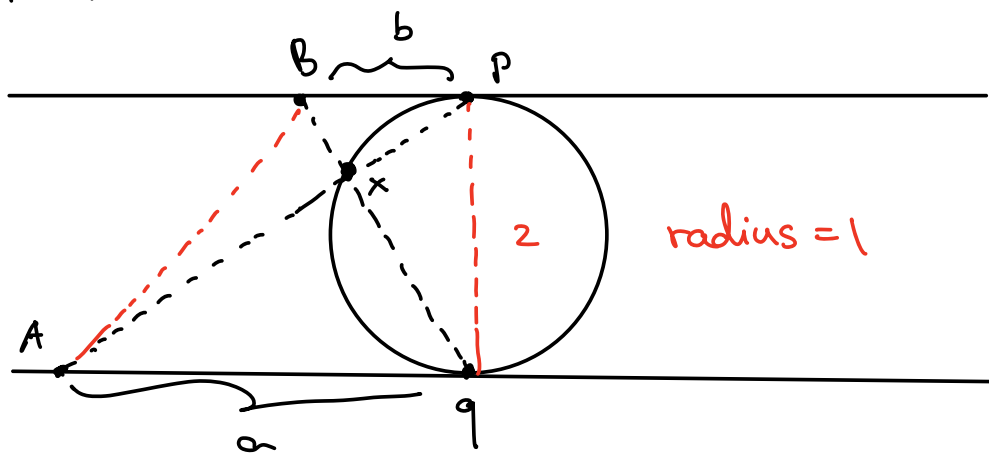
😊 Locally  $S^1$  looks like an open subset of  $\mathbb{R}$

😞 Ambiguity: For  $x \neq p, q$ , should I use  $\varphi$  or  $\psi$  to identify it to a pt in  $\mathbb{R} \setminus \{0\}$ ?



$$\begin{array}{c}
 B \in \mathbb{R} \\
 \psi \uparrow \\
 x \in S^1 \\
 \varphi \downarrow \uparrow \varphi^{-1} \\
 A \in \mathbb{R}
 \end{array}$$

What is the relation between A and B?



$$ab = \overline{px} \cdot \overline{xq} + \overline{Bx} \cdot \overline{xq} \quad \leftarrow \text{elementary fact}$$

$$= \frac{2b}{\sqrt{b^2+4}} \cdot \frac{2a}{\sqrt{b^2+4}} + \frac{2b}{\sqrt{a^2+4}} \cdot \frac{2a}{\sqrt{a^2+4}}$$

$$= 4ab \left( \frac{1}{b^2+4} + \frac{1}{a^2+4} \right)$$

$$\Rightarrow \frac{1}{a^2+4} + \frac{1}{b^2+4} = \frac{1}{4} \Rightarrow (ab)^2 = 16 \Rightarrow \boxed{A \cdot B = 4}$$

coordinates  
in  $\mathbb{R}$



Therefore,  $\psi \circ \psi^{-1}$  is the map on  $\mathbb{R} \setminus \{0\}$  by  $\frac{4}{*}$ .



$\Rightarrow$  Def (of a manifold) ...

Example (Graduate approach)

Def A smooth mfd  $M$  is a second-countable Hausdorff space s.t.  $\exists$  open cover  $\{U_\alpha\}_{\alpha \in I}$  of  $M$  and a family of maps  $\varphi_\alpha: U_\alpha \xrightarrow{\sim} V_\alpha \subset \mathbb{K}^n$  (for some  $n$ ) satisfying for any  $\alpha, \beta \in I$ , the restriction

$$\varphi_\beta \circ \varphi_\alpha^{-1}: U_\alpha \cap U_\beta \rightarrow V_\alpha \cap V_\beta \quad (\text{transition map})$$

is a smooth diffeomorphism (between open subsets in  $\mathbb{K}^n$ )

This " $n$ " is called the dimension of  $M$ .



- Rmk
- If  $\varphi_\beta \circ \varphi_\alpha^{-1}$  are  $C^r$ -maps, then  $M$  is called a  $C^r$ -diff mfd.
  - If  $K = \mathbb{C}^n$  and  $\varphi_\beta \circ \varphi_\alpha^{-1}$  are holomorphic maps, then  $M$  is called a complex mfd.
  - Open cover  $\{U_\alpha\}$  and maps  $\{\varphi_\alpha\}$  are not unique.

FACT: For a smooth mfd  $M$ ,  $\dim(M)$  is a topological invariant under homeomorphism.

(cf.  $\dim(M)$  is not invariant under homotopy:  $\mathbb{R}^2 \xrightarrow{\text{htp}} \bullet$ )

e.g. (of smooth mfd)

① 0-dim'l:  $M = \bigcup_{\text{countable}} \{p\}$  (equipped with discrete top).

$$M = \left\{ \begin{array}{c} \cdot \\ p_1 \\ \cdot \\ p_2 \quad \cdot \\ \cdot \\ p_3 \end{array} \right\}$$

open cover  $U_1 = \{p_1\}$   $U_2 = \{p_2\}$   $U_3 = \{p_3\}$   
 maps  $\varphi_1 \downarrow$   $\varphi_2 \downarrow$   $\varphi_3 \downarrow$   
 $x \in \mathbb{R}^0$   $x \in \mathbb{R}^0$   $x \in \mathbb{R}^0$   
 NO overlap  $U_\alpha \cap U_\beta = \emptyset$  for  $\alpha \neq \beta$ .

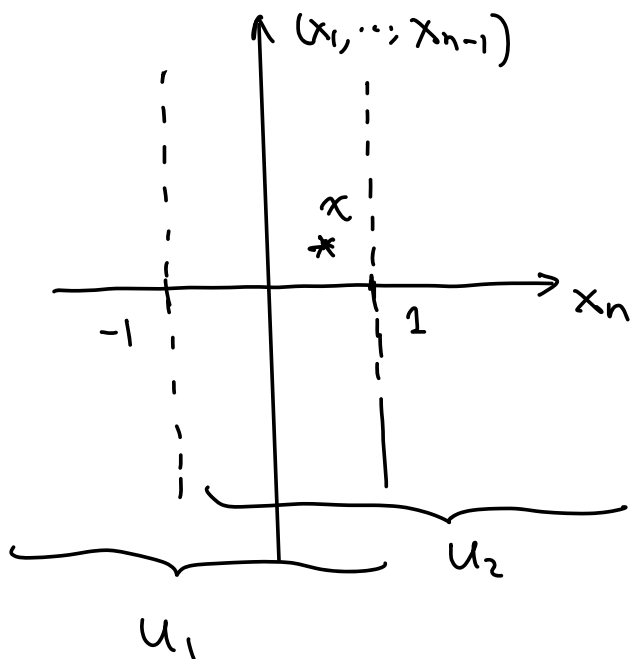
Rmk In most cases, we will consider "connected" smooth mfd's.

② 1-dim'l:  $M = S^1$  or  $M = \mathbb{R}$

They are still different, due to "compactness".

Rmk A mfd is called "closed" if it is cpt without boundary.

③ n-dim'l:  $\mathbb{R}^n$  - open cover  $U = \mathbb{R}^n$   $(x_1, \dots, x_n)$   
 map  $\varphi \downarrow$   $\downarrow$   
 $\mathbb{R}^n$   $(x_1, \dots, x_n)$   
 (standard smooth str.)



$$\mathbb{R}^n = U_1 \cup U_2$$

$$= \{x_n < -1\} \cup \{x_n > 1\}$$

$$U_1 \cap U_2 = \{(x_1, \dots, x_n) \in \mathbb{R}^n \mid -1 < x_n < 1\}$$

$$\varphi_1: U_1 \longrightarrow \{x_n < -1\} \subset \mathbb{R}^n$$

$$(x_1, \dots, x_n) \longrightarrow (x_1, \dots, x_n)$$

$$\varphi_2: U_2 \longrightarrow \{x_n > 1\}$$

$$(x_1, \dots, x_n) \longrightarrow (x_1, \dots, -x_n)$$

Then for  $x = (x_1, \dots, x_{n-1}, x_n) \in U_1 \cap U_2$ ,

$$(x_1, \dots, x_{n-1}, -x_n) \xleftarrow{\varphi_2} x \xrightarrow{\varphi_1} (x_1, \dots, x_{n-1}, x_n)$$

$$\varphi_2 \circ \varphi_1^{-1}: (x_1, \dots, x_{n-1}, x_n) \longrightarrow (x_1, \dots, x_{n-1}, -x_n)$$

matrix  $\begin{pmatrix} 1 & & & \\ & \ddots & & \\ & & 1 & \\ & & & -1 \end{pmatrix}$

(with det = -1)

"orientation"

more e.g.  
...

Example  $M^n$  smooth mfd of  $\dim M = n$

$F: M \rightarrow \mathbb{R}$  a smooth fcn.

$$\begin{array}{ccc} \textcircled{U_\alpha} & \xrightarrow{\varphi_\alpha} & \textcircled{V_\alpha} \subset \mathbb{R}^n \xrightarrow{f_\alpha} \mathbb{R} \\ & \searrow & \uparrow \\ & & F|_{U_\alpha} \end{array} \quad f_\alpha \text{ is clear.}$$

To "glue"  $\{f_\alpha\}_{\alpha \in I}$ , we need compatibility: over  $U_\alpha \cap U_\beta$ .

$$f_\alpha \circ \varphi_\alpha|_{U_\alpha \cap U_\beta} = f_\beta \circ \varphi_\beta|_{U_\alpha \cap U_\beta}$$

.. (how?)

Rmk. Another way is to "embed"  $M$  into  $\mathbb{R}^k$  for some  $k$ .

Then define  $F$  on  $M$  by  $F: \mathbb{R}^k \rightarrow \mathbb{R}$  and restricting to  $M \subset \mathbb{R}^k$ .

Prop.: If  $z \in \mathbb{R}$  is a regular value of  $F$  on  $M^n$ , then preimage

$$F^{-1}(z) = \{x \in M \mid F(x) = z\}$$

is a manifold of  $\dim = n-1$ .

← This helps us to construct mfd's from functions.

Rmk - One can consider  $F: M^n \rightarrow N^{n'}$  where  $M, N$  are manifolds, then for regular value  $z \in N$  of  $F$ , the preimage  $F^{-1}(z)$  is a manifold of  $\dim = n - n'$ .

- To define "regular value", one needs "derivatives" and it is a new concept on smooth manifolds

To simplify the situation:  $F: \mathbb{R}^n \rightarrow \mathbb{R}$  and  $z$  is a regular value if  $\forall x \in F^{-1}(z)$ , the derivative  $(\frac{\partial F}{\partial x_1}(x) \cdots \frac{\partial F}{\partial x_n}(x))$  is a non-zero vector.

e.g.  $F: \mathbb{R}^n \rightarrow \mathbb{R}$  an  $m$ -homogeneous function:

$$F(tx_1, \dots, tx_n) = t^m F(x_1, \dots, x_n)$$

Then  $1 \in \mathbb{R}$  is a regular value of  $F$ .

To verify this, one apply Euler's formula for  $x \in F^{-1}(1)$ ,

$$\frac{\partial F}{\partial x_1}(x) + \dots + \frac{\partial F}{\partial x_n}(x) = m \cdot F(x) = m \neq 0.$$

By prop,  $\{x \in M \mid F(x) = 1\}$  ( $\because$  level-1 subset) is a manifold of  $\dim = n-1$ .

e.g.  $M_{n \times n}(\mathbb{R}) = \{n \text{ by } n \text{ matrix in } \mathbb{R}\} \approx \mathbb{R}^{n \times n}$

$\uparrow$   
top on  $M_{n \times n}(\mathbb{R})$   
is induced by a  
top on  $\mathbb{R}^{n \times n}$

$$A = \begin{pmatrix} a_{11} & \dots & a_{1n} \\ \vdots & \ddots & \vdots \\ a_{n1} & \dots & a_{nn} \end{pmatrix} \longleftrightarrow (a_{11}, \dots, a_{nn})$$

$F: M_{n \times n}(\mathbb{R}) \longrightarrow \mathbb{R}$  by  $A \mapsto \det(A)$

Then  $1 \in \mathbb{R}$  is a regular value of  $F$ .

To verify this, we need to show for any  $A \in F^{-1}(1)$ ,  $\underbrace{\left( \frac{\partial F}{\partial a_{11}}, \dots, \frac{\partial F}{\partial a_{nn}} \right)}_{\in \mathbb{R}^{n \times n}}(A) \neq 0$

Suffices to prove that  $\exists v \in \mathbb{R}^{n \times n}$  s.t.

directional derivative  
of  $F$  at  $A$ , along  $v$ .

$$\left( \frac{\partial F}{\partial a_{11}}, \dots, \frac{\partial F}{\partial a_{nn}} \right) (A) \cdot v \neq 0.$$

inner prod.

Trick: take  $v = A$ .

Then

$$\begin{aligned} \frac{d}{dt} \det(A + tA) \Big|_{t=0} &= \frac{d}{dt} \det((1+t)A) \Big|_{t=0} \\ &= \det(A) \frac{d}{dt} (1+t)^n \Big|_{t=0} \\ &= 1 \cdot \frac{d}{dt} (1 + nt + \dots + t^n) \Big|_{t=0} \\ &= n \neq 0 \end{aligned}$$

By prop,  $\{A \in M_{n \times n}(\mathbb{R}) \mid \det(A) = 1\} =: SL(n, \mathbb{R})$  is a manifold  
of dim  $= n^2 - 1$ .



## Summary (总结)

1. 课程信息

2. 课程内容 (拟)

3. 授课方式: 抽象  $\rightarrow$  具体  $\left\{ \begin{array}{l} \text{实例} \\ \text{应用} \end{array} \right.$

\*: 答疑

助教: 何政辛 李进钊

office hr: 周三下午 2:00 pm - 3:00 pm.

课程群: QQ群 576290693

单独联系: 邮件.

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